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S&H Form: (2/01)
DOCKET NO. 970.1012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Toshiro KINOSHITA et al.

Serial No: 10/540,771

Group Art Unit: 2872

Confirmation No. 7143

Filed: June 24, 2005

Examiner: Unassigned

For: OPTICAL DISK AND ITS MANUFACTURING METHOD

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

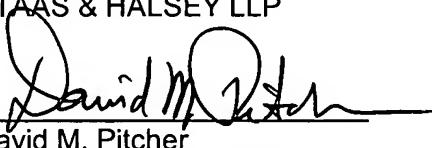
It is requested that the sixth Applicant's name on the Official Filing Receipt be corrected and the names of the Assignees be added. The correct name of the sixth Applicant is Hiroshi OGAWA, as is evidenced by the executed Declaration, attached to the application as filed. The names of the Assignees are: **TOPPAN PRINTING CO. LTD., Tokyo, Japan** and **SONY CORPORATION, Tokyo, Japan**, as is evidenced by the Assignment, attached to the application as filed. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

It is requested that a corrected Official Filing Receipt be issued in this application.

Respectfully submitted,

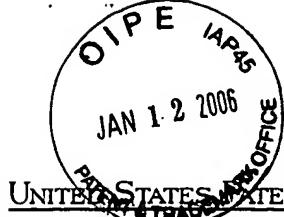
STAAS & HALSEY LLP

Date: January 14, 2006

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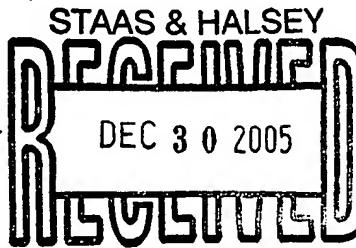


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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/540,771	06/24/2005	2872	1660	970.1012	4	13	4

21171
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 WASHINGTON, DC 20005



CONFIRMATION NO. 7143
 FILING RECEIPT



OC00000001771855

Date Mailed: 12/27/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Toshiro Kinoshita, Tokyo, JAPAN;
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Assignee: -TOPPAN PRINTING CO., LTD., Tokyo, Japan --
 Sony Corporation, Tokyo, Japan --

Power of Attorney: The patent practitioners associated with Customer Number 21171.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/00908 01/30/2004

Foreign Applications

JAPAN 2003-22308 01/30/2003
 JAPAN 2003-386540 11/17/2003

Projected Publication Date: 04/06/2006

Non-Publication Request: No

Early Publication Request: No

Title

Optical disk and production method therefor

Preliminary Class

359

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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凸版印刷(株)様 9104002-F385
Y-1-(特)様 504P087004,003
OSP-17/01 VS 言語

U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter, "ASSIGNOR") by S&H 7/03
(Insert Name(s) & Address(es) of ASSIGNEE(S))

(1) TOPPAN PRINTING CO., LTD. of 5-1, Taito 1-chome, Taito-ku, Tokyo, Japan

(2) Sony Corporation of 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo, Japan

(hereinafter, "ASSIGNEE"), the receipt of which is hereby acknowledged, the undersigned ASSIGNEE hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled (Title of Invention)

OPTICAL DISK AND ITS MANUFACTURING METHOD

relating to International Patent Application PCT/____/2004/000908 and/or for which application for Letters Patent of the United States was executed on even date herewith or, if not so executed, was:

(a) executed on _____; (Insert date of execution of application, if not concurrent)

(b) filed on _____,
Serial No. ____ / _____;

Any registered attorney of STAS & HALSEY LLP, 1201 New York Ave., N.W., Suite 700, Washington, D.C. 20005 (202/434-1500) is hereby authorized to insert in (b) the specified data, when known.

and to said application and all Letters Patent(s) of the United States granted on said application and any continuation, division, renewal, substitute, reissue or reexamination application based thereon, for the full term or terms for which the said Letters Patent(s) may be granted and including any extensions thereof (collectively, hereinafter, "said application(s) and Letters Patent(s)").

The ASSIGNOR agree(s), when requested by said ASSIGNEE and without charge to but at the expense of said ASSIGNEE, to do all acts which the ASSIGNEE may deem necessary, desirable or expedient, for securing, maintaining and enforcing protection for said invention, including in the preparation and prosecution of said application(s) and the issuance of said Letters Patent(s), in any interference, reissue, reexamination, or public use proceeding, and in any litigation or other legal proceeding which may arise or be declared in relation to same, such acts to include but not be limited to executing all papers, including separate assignments and declarations, taking all rightful oaths, providing sworn testimony, and obtaining and producing evidence.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).
(Typed Name & Signature of Inventor(s))

(Date)

(Typed Name & Signature of Witness(es))

1) <u>Toshiro Kinoshita</u> Toshiro KINOSHITA	June 14, 2005	<u>Horio Ogata</u>
2) <u>Akihiko Kobayashi</u> Akihiko KOBAYASHI	June 14, 2005	<u>Horio Ogata</u>
3) <u>Noboru Sasaki</u> Noboru SASAKI	June 14, 2005	<u>Horio Ogata</u>
4) <u>Makoto Arisawa</u> Makoto ARISAWA	June 14, 2005	<u>Horio Ogata</u>



Form (Rev. 2/01)

UNITED STATES

Docket No.: _____

出願登録番号(特許) 6104002-F385
Y=-(特許) 標記 504P087/005003
OSP-17101: 5 1/2

COMBINED DECLARATION/POWER OF ATTORNEY FOR UTILITY/DESIGN PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

OPTICAL DISK AND ITS MANUFACTURING METHOD

the

specification of which is attached hereto, unless the following box is checked:

was filed on January 30, 2004 as United States Application Number or PCT International Application Number PCT/JP2004/000908 and was amended on (if applicable):

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefit(s) under 35 U.S.C. ' 119(a)-(d) or ' 365(a)-(c) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority NOT Claimed

2003-022308 (Number)	Japan (Country)	30/01/2003 Day/Month/Year Filed	<input type="checkbox"/>
2003-386540 (Number)	Japan (Country)	17/11/2003 Day/Month/Year Filed	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. ' 120 or ' 119(e) of any United States application(s), or ' 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of 35 U.S.C. ' 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. ' 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Application Serial No.)	(Filing Date)	(Status -- patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status -- patented, pending, abandoned)

I hereby appoint the attorneys and agents of Staas & Halsey LLP under USPTO Customer No. 21,171 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:



21171
PATENT TRADEMARK OFFICE

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Toshiro KINOSHITA

Inventor's Signature <u>Toshiro KINOSHITA</u>	Date <u>June 14, 2005</u>
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Mailing Address <u>c/o TOPPAN PRINTING CO., LTD. 5-1, Taito 1-chome, Taito-ku, Tokyo, Japan</u>	

Full name of second inventor Akihiko KOBAYASHI

Inventor's Signature <u>Akihiko KOBAYASHI</u>	Date <u>June 15, 2005</u>
Residence <u>Tokyo, Japan</u>	Citizenship <u>Japan</u>
Mailing Address <u>c/o TOPPAN PRINTING CO., LTD. 5-1, Taito 1-chome, Taito-ku, Tokyo, Japan</u>	

Additional inventors are being named on separately numbered sheets attached hereto.



Form (Rev. 2/01)

UNITED STATES

Docket No. _____

COMBINED DECLARATION/POWER OF ATTORNEY FOR UTILITY/DESIGN PATENT APPLICATION

Full name of third inventor Noboru SASAKI

Inventor's Signature Noboru Sasaki Date June 14, 2005
Residence Tokyo, Japan Citizenship Japan
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Full name of fourth inventor Makoto ARISAWA

Inventor's Signature Makoto Arisawa Date June 14, 2005
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Full name of fifth inventor Mamoru SEKIGUCHI

Inventor's Signature Mamoru Sekiguchi Date June 14, 2005
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Full name of sixth inventor Hiroshi OGAWA

Inventor's Signature Hiroshi Ogawa Date June 14, 2005
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Mailing Address c/o Sony Corporation, 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo, Japan

Full name of seventh inventor Yoshimori YAMASAKI

Inventor's Signature Yoshimori Yamasaki Date June 14, 2005
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Mailing Address c/o Sony Corporation, 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo, Japan

Full name of eighth inventor Kiyoshi OSATO

Inventor's Signature Kiyoshi Osato Date June 14, 2005
Residence Chiba-shi, Japan Citizenship Japan
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Full name of ninth inventor Tamotsu YAMAGAMI

Inventor's Signature Tamotsu Yamagami Date June 15, 2005
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Full name of tenth inventor Hidetoshi WATANABE

Inventor's Signature Hidetoshi Watanabe Date June 14, 2005
Residence Kashiwa-shi, Japan Citizenship Japan
Mailing Address c/o Sony Corporation, 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo, Japan